

Journal of Advanced Research Design

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Journal homepage: https://akademiabaru.com/submit/index.php/ard ISSN: 2289-7984

Metaheuristic Optimization of Perovskite Solar Cell Using Hybrid L32 Taguchi Doe-Based Genetic Algorithm

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1. Introduction

The increasing electricity demand results from accelerated industrialization and rising household electricity consumption. Free and environmentally friendly electricity is the optimal solution to these increasing electricity requirements since it is not detrimental to the environment. As one of the most accessible renewable energy sources, solar energy ranks among the most appealing alternatives. It

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could be one of the most effective solutions to meet increasing electricity demands. Furthermore, solar energy may also be readily turned into electricity, which may be realized by developing solar cells, photovoltaic devices, and solar absorbers, to name a few.

Metamaterials have received much interest due to their distinctive electro-optical properties and tiny size, as opposed to large optical devices. It has been discovered that by utilizing dielectric metamaterials rather than glass, the Perovskite's light conversion efficiency could be increased. Due to excellent power conversion efficiency (PCE), perovskite solar cells (PSCs) have attracted much attention in the field of research [1-5]. Moreover, modern advances in device layouts and material processing features have made PSC manufacturing one of the most promising trends in photovoltaics. The ultimate goal is to improve the architectural layout of the structure and the selection of materials in accordance with the significant performance increase that has forcefully enabled various exploratory and hypothetical evaluations.

The PSCs have an electron transport material (ETM) and a hole transport material (HTM) between a perovskite absorber layer. Note that electrons and holes, two types of carriers, are produced when light is absorbed. Carriers move via a transporting channel after being stimulated by an incident photon. Therefore, it goes without saying that the absorber-to-front/back electrode carrier route significantly affects the PCE [6-9]. In order to further increase the PCE of PSC, the scientific community has recently focused a great deal of emphasis on introducing novel materials in the aforementioned route, particularly in the ETM, HTM, and absorber [10-13].

Design of Experiments (DoE) is a statistical approach for recognizing multiple input parameters and interactions that significantly impact the output of a device/system/process. In simulation modeling, DoE can assist in identifying essential factors with minimal simulation [14-17]. Furthermore, randomization, replication of experimental trials, and division are unnecessary in simulation-based DoE since the deterministic trait of simulations hinders experimental variation. Metaheuristics are advanced algorithms tailored for finding, creating, or choosing partial search algorithms (heuristics) that can yield reasonably effective solutions for complex optimization problems, particularly under conditions of incomplete data or limited computational resources [18- 23]. They leverage learning from previous solutions to more effectively navigate the solution space. Applied extensively in sectors such as business, engineering, economics, and science, metaheuristics tackle complex problems that defy easy resolution through conventional methods. While they don't assure the best solution, their goal is to identify viable solutions efficiently. Motivated by the impact of multiple layer variations on the PCE, this work aims to simulate and optimize multiple layers of PSC using a proposed hybrid L_{32} Taguchi DoE-based Genetic Algorithm. The primary contributions of this research work are listed below:

- i. We have analyzed and studied the impact of layer parameters of the PSC towards PCE. We have discovered that the thickness of the perovskite (CH3NH3PbI3-XClX) layer is the most significant input parameter contributing to the considerable variance in the PCE.
- ii. We have optimized and predicted the optimum layer parameters of the PSC that generate the highest possible PCE. We have successfully simulated a PSC model that generates 38.93% of PCE.

2. Related Works

L8 Taguchi DoE was utilized to optimize input parameters in silicon-based solar cell simulation [24]. The findings demonstrate that an energy of 10 keV combined with a boron density of 5.0 x 10^{15} cm^{-3} and phosphorus density of 2.0 x 10^{16} cm⁻³ yields a junction depth of 0.3 x 0.5 um and a stable FF value of 80%, with PCE of 15% to 16%. The PCE of the silicon-based solar cell increased by 1% due to the optimized boron and phosphorus densities predicted using the L_8 Taguchi DoE. For higher PCE in Dye Solar Cell (DSC) fabrication, the L₈ Taguchi DoE was employed as well to optimize material thickness, surface area, and material density in electrolytes [25]. The proposed device suggests an optimum DSC efficiency of 4.59165% can be achieved using the optimized material's thickness and density. The results clearly indicate the optimum efficiency of the DSC device could be attained by predicting the most optimum thickness and density of the applied materials.

Response surface methodology (RSM) DoE was used to obtain the best PCE as the thickness was predictively tuned at 5 μm and 50 μL graphene quantum dots (GQD) [26]. The L₉ Taguchi DoE was used in conjunction with the Analysis of Microelectronic and Photonic Structures (AMPS-1D) software to model a PSC device [27]. The findings revealed that Cadmium Sulphide (CdS) and Copper Telluride (CuTe) were deemed marginally significant on PCE, with the device demonstrating significantly enhanced PCE. The L9 Taguchi DoE was employed as well to optimize dye sensitised solar cell fabrication, with the experimental findings revealing that magnesium nitrate concentration had the greatest influence on photocurrent densities, followed by deposition time [28].

Solar Cell Capacitance Simulator (SCAPS-1D) software was used in conjunction with the L9 Taguchi DoE to optimize the absorber layer grading profile on the Copper Indium Gallium Selenide (CIGS) photovoltaic cell's properties. The results demonstrated that an average PCE of 22.08% using the optimized absorber layer grading profile [29]. The PCE of a tandem organic solar cell was optimized using RSM DoE [30]. In comparison to the conversion rate of the monolayer solar cell using the same materials, the optimized thicknesses increased the PCE by 47.7%.

The RSM DoE was also used to examine the impact of coating silicon solar cells with zinc oxide, aluminum oxide, titanium dioxide on their overall optical performance [31]. Applying ZnO material with a thickness of 38 nm, the research establishes the optimal conditions of silicon solar cell with reduced surface reflections. For the first time, the parameters of the triple diode model (TDM) of PSCs were determined using an improved version of the bald eagle search optimization method [32]. The results highlight the advantages of the improved version of the bald eagle search optimizer when compared to other methods, including the original bald eagle search optimization, particle swarm in optimization, hunger games search, and the latest coronavirus disease optimization algorithm in which it produced the highest current density of the PSC.

In our study, we have applied a hybrid metaheuristic optimization in modelling multiple layer parameters of PSC device using SCAPS-1D with a hybrid L₃₂ Taguchi DoE-based Genetic Algorithm for an improved PCE. Additionally, we evaluated the PCE from our proposed approach against the PCE derived solely from the L_{32} Taguchi DoE for conclusive comparison.

3. Methodology

Proposed methodology of this work comprises four stages; Simulation of Perovskite solar cell, L_{32} Taguchi Design of Experiments (DoE), Multiple Linear Regression and Genetic algorithm. The proposed flowchart of the methodology are depicted in Figure 1.

Fig. 1. Flowchart of the methodology

3.1 Perovskite Solar Cell Simulation

A perovskite solar cell configuration was studied, with graphene oxide (GO) used as hole transport material (HTM) to prevent electron flow. The Solar Cell Capacitance Simulator (SCAPS-1D) was used to build the whole device assembly shown in Figure 2. Figure 3 shows the energy band diagram of the proposed perovskite device structure. In order to simulate the current-voltage characteristics of the device, appropriate values of layer parameters were inserted. Table 1 shows multiple physical parameters used in this work.

device structure

The main function of GO layer is to promote carrier accumulation at the metallic electrode. Furthermore, the degradation of a perovskite device could be lowered by reducing ion electro migration from the metallic electrode to the perovskite layer, which triggers electrical shunting. In addition, rapid heat dispersion from the GO layer to the surrounding environment increases the

thermal stability of the perovskite device, hence lowering the volume of heat created within the cell during actual operation. The parameters of GO layer and the perovskite planar layer must be carefully configured, as a large interlayer discrepancy will increase the recombination rate otherwise.

Table 1

Parameters used in the simulation work

The GO layer was employed as planar structure as was suggested in previous researches [34-36]. SCAP-1D was used to simulate the electrical characteristics of the perovskite cell, which were initially displayed in Figure 4. During numerical simulation, a typical solar spectrum AM 1.5 is projected to the front contact in which the carrier transport, drift-diffusion, and recombination models are computed to extract open circuit voltage (Voc), short circuit current density (Jsc), fill factor (FF), and power conversion efficiency (PCE) of the perovskite device.

Fig. 4. Initial current–voltage characteristics of PSC

3.2 L32 Taguchi Design of Experiments (DoE)

Taguchi DoE employs specific orthogonal arrays to investigate all design parameters with the least number of tests. In this work, L₃₂ Taguchi DoE shown in Table 2, is utilized to attain corresponding power conversion efficiency (PCE) for 32 different experiment sets. Each input parameters are varied into two levels; low and high as listed in Table 3.

Table 3

L₃₂ Taguchi design of experiments

3.3 Multiple Linear Regression

Multiple linear regression is an algorithm for machine learning that predicts a dependable variable based on the magnitude of two or more independent variables. In other words, the independent variables are the parameters through which the dependent variable (response) or outcome is calculated. A multiple regression model extends to numerous explanatory variables. In this work, the multiple linear regression is used to derive the objective function that describe the relationship between a predictor variable and the response. Hence, the objective function that relates eight independent inputs; *a*, *b*, *c*, *d*, *e*, *f*, *g* and *h* with one dependent output; PCE can be written as:

$$
PCE = B_0 + B_1a + B_2b + B_3c + B_4d + B_5e + B_6f + B_7g + B_8h
$$
\n(1)

where *B*₀ is the y-intercept, $B_1B_2B_3B_4B_5B_6B_7B_8$ represent the regression coefficients for the corresponding input parameters.

3.4 Genetic Algorithm

Genetic algorithm is an approach for addressing both limited and unbounded optimization based on mechanism that drives evolutionary biology. As depicted in Figure 5, the Genetic algorithm involves numerous phases consisting of initial population, objective function, fitness scaling, selection, crossover, and mutation.

Fig. 5. Process flow of Genetic algorithm

In this study, the initial population consisted of the starting values of FTO thickness, FTO donor density, TiO₂ layer thickness, TiO₂ donor density, CH₃NH₃PbI_{3-x}Cl_x layer thickness, CH₃NH₃PbI_{3-x}Cl_x donor density, GO layer thickness, and GO acceptor density, represented by *a*, *b*, *c*, *d*, *e*, *f*, *g*, and *h*, respectively. The objective function derived by multiple linear regression was then scaled to fit within predetermined lower and upper constraints. Since the primary purpose was to search for the largest value of PCE, the fitness function (f_i) for this objective problem was defined as depicted in Figure 6. The default preferences of Genetic algorithm for this study was shown in Figure 7.

```
Minimize -f<sub>i</sub>(a, b, c, d, e, f, g, h)Subject to the constraints:
0.05 \le a \le 0.951\mathrm{e}{+06} \leq b \leq 1\mathrm{e}{+22}0.01 \le c \le 0.111e+06 \le d \le 1e+220.05 \le e \le 0.951e+06 \leq f \leq 1e+220.01 \le g \le 0.111e+06 \leq h \leq 1e+22
```
the constraints algorithm

```
Type = real-valuedPopulation size = 50
Number of generations = 1000Elim = 2Crossover probability = 0.8Mutation probability = 0.1
```
Fig. 6. Fitness function subjected to **Fig. 7.** Default preferences of Genetic

4. Results and Discussion

This section discusses the results of metaheuristic optimization for improved PCE of the Perovskite Solar Cell by incorporating L₃₂ Taguchi DoE, multiple linear regression, and the Genetic algorithm. Table 4 displays the simulation results in which the PCE values for 32 experimental rows were recorded, accordingly. The relationship between eight layer parameters and the PCE values for 32 experimental rows is subsequently examined using the MLR approach, from which the normal Q-Q plot illustrated in Figure 8 is derived. There are no data in the actual dataset near the center of the theoretical distribution, hence there is no point on the Q-Q plot at this location (0, 0). The top half of the Q-Q plot is a reflection of the lower half across x and y. The residuals are therefore seen as having a bimodal distribution. Table 5 presents the results of the multiple linear regression for this investigation. It is clearly evident that the most significant input parameter contributing the considerable variance on the PCE is input parameter *e* (CH₃NH₃PbI_{3-X}Cl_X thickness) as it exhibits the least p-value among others.

On the other side, the least significant input parameter is recognized as input parameter *g* (GO thickness) since it exhibits the largest p-value among others. In practice, any p-value below 0.05 is typically viewed as significant which imply the coefficient does in fact contribute value to the model by helping to explain the variation within PCE. MLR analysis indicates that GO material has the minimal impact on PCE among the layers. However, GO provides excellent chemical compatibility with perovskite materials, securing stable interfaces and reducing interfacial recombination that can harm device efficiency. The fabrication process for GO is relatively simple and inexpensive, making it a good option for mass production of PSCs. Additionally, GO contributes to the thermal and chemical stability of PSCs, which is critical for their longevity and effectiveness.

Table 4

Simulated PCEs from L₃₂ Taguchi DoE

Exp. No.	Level of input parameters								PCE
	A	B	C	D	E	F	G	H	(%)
$\mathbf 1$	Low	Low	Low	Low	Low	Low	Low	Low	15.66
2	Low	Low	Low	Low	High	Low	High	High	27.85
3	Low	Low	Low	High	Low	High	Low	High	18.30
4	Low	Low	Low	High	High	High	High	Low	34.87
5	Low	Low	High	Low	Low	High	High	Low	17.91
6	Low	Low	High	Low	High	High	Low	High	34.51
$\overline{7}$	Low	Low	High	High	Low	Low	High	High	15.56
8	Low	Low	High	High	High	Low	Low	Low	27.45
9	Low	High	Low	Low	Low	High	High	High	18.59
10	Low	High	Low	Low	High	High	Low	Low	35.74
11	Low	High	Low	High	Low	Low	High	Low	15.97
12	Low	High	Low	High	High	Low	Low	High	28.94
13	Low	High	High	Low	Low	Low	Low	High	15.91
14	Low	High	High	Low	High	Low	High	Low	28.20
15	Low	High	High	High	Low	High	Low	Low	18.40
16	Low	High	High	High	High	High	High	High	35.63
17	High	Low	Low	Low	Low	High	High	High	17.22
18	High	Low	Low	Low	High	High	Low	Low	32.76
19	High	Low	Low	High	Low	Low	High	Low	14.65
20	High	Low	Low	High	High	Low	Low	High	26.13
21	High	Low	High	Low	Low	Low	Low	High	14.60
22	High	Low	High	Low	High	Low	High	Low	25.23
23	High	Low	High	High	Low	High	Low	Low	17.15
24	High	Low	High	High	High	High	High	High	32.82
25	High	High	Low	Low	Low	Low	Low	Low	15.79
26	High	High	Low	Low	High	Low	High	High	28.65
27	High	High	Low	High	Low	High	Low	High	18.40
28	High	High	Low	High	High	High	High	Low	35.43
29	High	High	High	Low	Low	High	High	Low	18.07
30	High	High	High	Low	High	High	Low	High	35.27
31	High	High	High	High	Low	Low	High	High	15.65
32	High	High	High	High	High	Low	Low	Low	28.00

Table 5

The residual standard error is a measure of the model's fit to the data. A regression model's fit to a dataset improves as the residual standard error decreases. The larger the residual standard error, however, the less a regression model fits a dataset. A regression model with a low residual standard error will have variables clustered around the fitted regression line. In this case, the model's residual standard error is 1.437, implying that the regression model suggests the PCE of the PSC with an average error equivalent to approximately 1.437. Multiple R-squared is applied to display the proportion of PCE fluctuations explained by the eight input parameters. Basically said, it is a way for measuring the data-fitting capabilities of a constructed model. Multiple R-squared explains ~97.5% of the variation within PCE in this case. Adjusted R-squared is utilized when performing multiple linear regression and can be functionally compared to Multiple R-squared. The Adjusted R-squared indicates what proportion of the variation in PCE is explained by all predictors. The distinction between these two measures is a calculation subtlety that accounts for the PCE variation introduced by the addition of input parameters.

In this case, adjusted R-squared explains there is ~96.63% of the variation within PCE. Based on the constructed model, the F-statistic is quite large and the p-value is so small that it is effectively zero. This would suggest that the null hypothesis should be rejected leading to the conclusion that PCE and eight input parameters have a significant relationship. Thus, the objective function describing the relationship between PCE (the dependent variable) and eight input parameters (the independent variables) can be derived as:

$$
PCE = 1.266e + 01 - 1.068a + 1.248e - 20b - 4.781c + 8.688e - 22d + 1.794e + 01e
$$

$$
01e + 4.803e - 20f - 7.396e - 01g + 1.719e - 21h
$$
 (2)

Theoretically, the Genetic algorithm is used to find for the minima of a function. Thus, the objective function in (2) was inverted to search for its local maxima. Subject to the fixed constraints, the fitness function of the maximizing purpose can be expressed as:

$$
fi = -1.266e + 01 + 1.068a - 1.248e - 20b + 4.781c - 8.688e - 22d - 1.794e + 01e
$$

4.803e - 20f + 7.396e - 01g - 1.719e - 21h (3)

The fitness function (fi) is subjected repeatedly to the phases of selection, crossover, and mutation until its maximum value is found. As depicted in Figure 9, the process of selection, crossover, and mutation was terminated after 844 cycles.

Based on the results of the Genetic algorithm, the largest achievable fitness value of the PCE is determined to be 657.64, where the optimal values of the input parameters; FTO thickness, FTO donor density, TiO₂ layer thickness, TiO₂ donor density, CH₃NH₃PbI_{3-x}Cl_x layer thickness, CH₃NH₃PbI₃₋ xCl_x donor density, GO layer thickness, and GO acceptor density are predicted to be 0.187 μ m, 9.965 x 10^{21} cm⁻³, 0.033 μm, 9.629 x 10^{21} cm 3 , 0.926 μm, 9.983 x 10^{21} cm 3 , 0.039 μm and 9.671 x 10^{21} cm 4 ³ respectively. For validation purpose, the Graphene-based PSC model is simulated again using the recently predicted input parameters via SCAPS-1D. Figure 10 depicts the comparison of J-V transfer characteristics PSC prior to optimization using L₃₂ Taguchi DoE and L₃₂ Taguchi DoE-based Genetic algorithm.

Fig. 10. Overlay of *J-V* transfer characteristics of the PSC before optimization, via Taguchi DoE and via L₃₂ Taguchi DoE-based Genetic algorithm

Based on the overlay plot, the Voc of the PSC has increased by 13.4% after being optimized with the L32 Taguchi DoE-based Genetic algorithm compared to before optimization. In addition, the Voc demonstrates a 7.2% improvement over to the L₃₂ Taguchi DoE approach, conducted using Minitab software [37]. The Jsc of the PSC after optimization using L₃₂ Taguchi DoE-based Genetic algorithm has improved by 49.1%, with the Jsc before optimization approach and after L_{32} Taguchi DoE-based Genetic algorithm being 13.06 mA/cm² and 25.68 mA/cm², respectively. Jsc value skyrockets primarily as a result of enormous resistive losses brought on by large thickness variations in $CH_3NH_3Pb1_3\times Cl_3$. However, there is no difference between the Jsc value and the L_{32} Taguchi DoE approach, where the Jsc remains unchanged at 25.68 mA/cm². Table 6 summarizes the input parameter values and simulation outcomes of the PSC.

Table 6

Summary of simulation outputs of the PSC

According to Table 6, the PSC exhibits a 59.8% increase in PCE, with the PCE increasing from 15.66% to 38.93% upon optimization with the L₃₂ Taguchi DoE-based Genetic algorithm. In addition, the FF is enhanced by 8.6% upon optimization with the L_{32} Taguchi DoE-based Genetic algorithm. In addition, it is also noticed that both FF and PCE have improved by 0.59% and 7.76%, respectively, compared to the optimization via the L_{32} Taguchi DoE approach. The simulated 38.93% of PCE is due to the use of heterojunction perovskite solar cells (PSCs), which differ greatly from single-junction solar cells. The Shockley-Queisser (SQ) limit, which defines the maximum theoretical efficiency for single-junction cells, does not restrict multi-junction or heterojunction cells. These advanced cells can surpass the SQ limit by utilizing multiple layers or junctions that absorb different segments of the solar spectrum more efficiently. The high efficiency of these PSCs is a result of their specialized structure and materials.

By optimizing the materials and cell configuration, researchers can substantially reduce losses and enhance light absorption, leading to efficiencies greater than those of traditional single-junction cells. For instance, recent developments in crystalline silicon-perovskite tandem solar cells have shown efficiencies up to 33.9% [38]. The simulated structure described in the study probably incorporates such innovative designs and materials to achieve the 38.93% efficiency. Furthermore, this impressive theoretical PCE is observed through simulations, and the actual PCE might differ when validated through experimental fabrication and testing. Hence, the final results demonstrate that the L₃₂ Taguchi DoE-based Genetic algorithm could be applied to simulate solar cell devices effectively.

In future, the L₃₂ Taguchi DoE could be incorporated with alternative approaches besides Genetic algorithm in an effort to provide more precise and inclusive solutions.

5. Conclusions

In summary, the optimum input parameters of the Perovskite solar cell device were accurately predicted using SCAPS-1D software integrated with L_{32} Taguchi DoE-based Genetic algorithm. This study's primary goal was to identify the input parameters of the device that would generate the maximum feasible power conversion efficiency (PCE). The thickness of $CH_3NH_3Pb1_3\times Cl_8$ was deemed the most significant input parameter based on multiple linear regression analysis, as it had the lowest p-value among others. Subsequently, the objective function that links the eight input parameters with PCE was then statistically derived. The Genetic algorithm was employed to search the maximum converging point of the objective function within lower and upper specified boundaries. After 844 iterations, the highest fitness value (f_i) is determined to be 657.64. Using the anticipated optimum input parameters, the predicted model generated the highest possible PCE of 38.93%. The final findings imply that the L_{32} Taguchi DoE-based Genetic algorithm can be regarded as one of the effective methods in boosting the overall PSC's performance.

Acknowledgement

The authors would like to thank the Ministry of Higher Education (MOHE) for sponsoring this work under project (FRGS/1/2022/TK07/UTEM/02/47) and MiNE, CeTRI, Faculty of Electronics and Computer Technology and Engineering (FTKEK), Universiti Teknikal Malaysia Melaka (UTeM) for the moral support throughout the project.

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